

IMPORTANT INFORMATION FOR OPENING YOUR ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number and other information or documents that will allow us to identify you. This information will be subject to verification.

By signing and submitting this application, you give the CCM Community Impact Bond Fund (the “Fund”) and its agents permission to collect information about you from third parties, including information available in public and private databases such as consumer reports from credit reporting agencies, which will be used to help verify your identity.

If you do not provide the information, we may not be able to open your account. If we open your account but are unable to verify your identity, we reserve the right to take such other steps as we deem reasonable, including closing your account and redeeming your investment at the net asset value next calculated after the Fund decides to close your account. Please see the Fund’s Statement of Additional Information for further information.

1. YOUR INITIAL INVESTMENT - \$500,000 MINIMUM INITIAL INVESTMENT

Enclosed is \$ _____ and/or money wired _____

Fax and Bank Wire Instructions:

- 1) Call CCM Community Impact Bond Fund at 1-888-272-0007 to advise us that you will be faxing an application
- 2) Fax the completed application to 1-207-347-2195
- 3) Upon receipt a representative will establish the account and call you with the account number
- 4) Wire funds (wire instructions below)
- 5) Mail the original completed application to the address below
- 6) Any questions, please call 1-888-272-0007

Wire Instructions: UMB Bank
 ABA Routing Number 101000695
 For credit to: APEX Fund Services, LLC FBO Community Capital
 A/C # 9872324919

For further credit to:
 CCM Community Impact Bond Fund Name of investor
 CCM Community Impact Bond Fund Account number
 CCM Community Impact Bond Fund Tax ID Number

Mail the completed application and check to:
 CCM Community Impact Bond Fund
 P.O. Box 588
 Portland, ME 04112

For overnight/express deliveries, send to:
 CCM Community Impact Bond Fund
 c/o APEX Fund Services
 Three Canal Plaza, Ground Floor
 Portland, ME 04101

By Check: Complete the application and make checks payable to “CCM Community Impact Bond Fund”.

2. YOUR ACCOUNT TYPE

Please provide the Tax Identification Number under which the account will be reported to the IRS:

☐ Taxpayer Identification Number: _____

Please select only one account type below:

☐ Trust (first and signature pages of the Trust Instrument required)

☐ Corporation, LLC, or Partnership (select one below):

- ☐ S Corporation (certified articles of incorporation required)
- ☐ C Corporation (certified articles of incorporation required)
- ☐ Partnership (partnership agreement required)

☐ Exempt recipient as defined under U.S. federal income tax regulations (e.g., C-Corporation, financial institution, registered broker-dealer, or tax exempt organization). Exempt payee code _____ Note: Please see IRS Form W-9 for a list of exempt payee codes

☐ Other (please include additional documentation to verify entity)

Describe entity _____

3. YOUR ACCOUNT INFORMATION

Full Name of Shareholder, Custodian, Primary Owner, Trust, Partnership, Corporation or Other Entity

_ Date of Birth or Date of Trust

Social Security Number

Full Name of Joint Owner, Trustee, Partner or Officer of Corporation, if applicable

Date of Birth of Joint Owner, Partner or Trustee, if applicable

Social Security Number of Joint Owner, Partner or Trustee, if applicable

Full Name of Joint Owner, Trustee, Partner or Officer of Corporation, if applicable

Date of Birth of Joint Owner, Trustee, or Partner, if applicable

Social Security Number of Joint Owner or Trustee, if applicable

** If needed, please attach a separate list for additional investors, trustees, authorized traders, and general partners of a partnership, including full name, social security number, home street address, and date of birth.*

☐ Check here if you prefer that Community Capital Management, Inc. NOT use the name of your organization in marketing materials.

4. ACCOUNT REGISTRATION

Please provide your physical street address:

Company Name

Street Address and Apartment Number

City

State

Zip Code

Daytime Telephone Number

Evening Telephone Number

E-Mail Address

Please provide your mailing address (if different from your physical street address):

Company Name

Mailing Address

City

State

Zip Code

5. TELEPHONE AUTHORIZATION

Unless telephone redemptions are declined below, I (we) hereby authorize and direct the Transfer Agent to accept and act upon telephone instructions for redemptions involving an account with a corresponding registration. I (we) also agree that neither the Fund nor the Transfer Agent will be liable for any loss, cost or expense for acting upon any telephone instructions if it follows reasonable procedures in order to verify that telephone requests are genuine.

☐ I (We) **DO NOT** authorize telephone redemptions.

6. INCOME AND CAPITAL GAIN DISTRIBUTION PAYMENT OPTIONS

☐ Full Reinvestment: Reinvest all income and capital gain distributions when paid.

☐ Capital Gain Reinvestment: Reinvest capital gain distributions when paid; pay income in cash.

☐ Income Reinvestment: Reinvest income when paid; pay capital gain distributions in cash.

☐ Cash: Pay all income and capital gain distributions in cash.

☐ Send cash payments by check mailed to the address of record.

☐ Send cash payments by Electronic Funds Transfer according to the banking instructions listed in Section 7.

Please note that if none of the boxes are checked, shareholders are assigned the Full Reinvestment option.

7. BANK ACCOUNT INFORMATION (Optional)

Check type of account (please attach a voided check): ☐ *Checking Account* ☐ *Savings Account*

Name of Bank	ABA Routing Number	Account Number
--------------	--------------------	----------------

Bank Address	City	State	ZIP Code
--------------	------	-------	----------

Registration on Bank Account

Bank Account Owner(s) Address (if different from address in section 4)	City	State	ZIP Code
--	------	-------	----------

8. DEALER INFORMATION (For Broker/Dealer use only)

Dealer Firm Name	Dealer Firm Number
------------------	--------------------

Financial Advisor Name	Financial Advisor Number
------------------------	--------------------------

Financial Advisor's Telephone Number	Branch Number
--------------------------------------	---------------

9. SIGNATURE AND TAX CERTIFICATIONS

I am of legal age in the state of my residence and wish to purchase shares of the Fund(s) as described in the current Fund's Prospectus. By executing this Account Application, the undersigned represents and warrants that I have full right, power, and authority to make this investment and the undersigned is duly authorized to sign this Account Application and to purchase or redeem shares of the Fund(s) on behalf of the Investor.

Please note that your property may be transferred to the state of your last known address if no activity occurs in your account within the time period specified by that state's law.

Under the penalties of perjury, I certify that (1) the number shown on this form is my correct social security/taxpayer identification number (or I am waiting for a number to be issued to me), (2) that I have not been notified by the Internal Revenue Service ("IRS") that I am subject to backup withholding, because: (a) I am exempt from backup withholding; or (b) I have not been notified by the IRS that I am subject to backup withholding for failure to report all dividend and interest income; or (c) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. person (either a U.S. citizen or resident alien).

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

By my signature below, I certify, on my own behalf or on behalf of the investor I am authorized to represent, that:

- (1) The investor is not involved in any money laundering schemes and the source of this investment is not derived from any unlawful activity; and
- (2) I have received and read the Fund's prospectus and agree to the terms and conditions therein; and
- (3) The information provided by the investor within this application is true and correct and any documents provided herewith are genuine.

Signature

Title or Capacity (if applicable)

Date (mm/dd/yyyy)

Joint Tenant/Trustee/Partner Signature

Title or Capacity (if applicable)

Date (mm/dd/yyyy)

10. MAILING INSTRUCTIONS AND CONTACT INFORMATION

Regular Mail To:

CCM Community Impact Bond
Fund P.O. Box 588
Portland, ME 04112

Overnight Express Mail To:

CCM Community Impact Bond
Fund c/o APEX Fund Services
Three Canal Plaza, Ground
Floor Portland, ME 04101

If you have any questions, please call (888) 272-0007 (toll-free)

Certification Regarding Beneficial Owners of Legal Entity Customers

1. GENERAL INSTRUCTIONS

What is this form?

To help the government fight financial crime, Federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. Legal entities can be abused to disguise involvement in terrorist financing, money laundering, tax evasion, corruption, fraud, and other financial crimes. Requiring the disclosure of key individuals who own or control a legal entity (i.e., the beneficial owners) helps law enforcement investigate and prosecute these crimes.

Who has to complete this form?

This form must be completed by the person opening a new account on behalf of a legal entity with any of the following U.S. financial institutions: (i) a bank or credit union; (ii) a broker or dealer in securities; (iii) a mutual fund; (iv) a futures commission merchant; or (v) an introducing broker in commodities.

For the purposes of this form, a legal entity includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in the United States or a foreign country. Legal entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

2. CERTIFICATION OF BENEFICIAL OWNER(S)

Persons opening an account on behalf of a legal entity must provide the following information:

a. Name and Title of Natural Person Opening Account:

b. Name and Address of Legal Entity for Which the Account is Being Opened:

c. The following information for each individual, if any, who, directly or indirectly, through any contract, arrangement, understanding, relationship or otherwise, owns 25 percent or more of the equity interests of the legal entity listed above:

Name	Date of Birth	Address (Residential or Business Street Address)	For U.S. Persons: Social Security Number	For Foreign Persons: Passport Number and Country of Issuance, or other similar

(If no individual meets this definition, please write "Not Applicable.")

* In lieu of a passport number, foreign persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

d. The following information for one individual with significant responsibility for managing the legal entity listed above, such as:

- An executive officer or senior manager (e.g., Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, Treasurer); or
- Any other individual who regularly performs similar functions. (If appropriate, an individual listed under section (c) above may also be listed in this section (d)).

Name	Date of Birth	Address (Residential or Business Street Address)	For U.S. Persons: Social Security Number	For Foreign Persons: Passport Number and Country of Issuance, or other similar

* In lieu of a passport number, foreign persons may also provide an alien identification card number, or number and country of issuance of any other government-issued document evidencing nationality or residence and bearing a photograph or similar safeguard.

I, _____ (*name of natural person opening account*), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

Signature: _____

Date: _____

Legal Entity Identifier _____ (Optional)

CRA Assessment Area Targeting Form

 Please return just this assessment area targeting form to Jessica Botelho at jbotelho@ccminvests.com.
Use this form to specify how you would like your CRA investment dollars broken out by assessment area.

Contact
Information

Contact Name

Date mm/dd/yyyy

Bank Name

Phone

Email

Targeting Options

Please select **one** of the options below.

Option 1: By Assessment Area *Please indicate your assessment area(s) by selecting the appropriate box(es) and then list below.*

- ☐ State Specific *Please list state(s) below*
- ☐ MSA *Please list MSA(s) below*
- ☐ County Specific *Please list county/counties and state(s) below*
- ☐ Disaster Recovery *Please list county/counties and state(s)*

Please list desired assessment area(s) and corresponding targeted dollar amount. The minimum investment required for any assessment area in the CCM Community Impact Bond Fund is \$500,000.

Assessment Area	Targeted Dollar Amount
1.	\$
2.	\$
3.	\$
4.	\$
5.	\$
6.	\$
7.	\$
8.	\$
9.	\$
10.	\$
11.	\$
12.	\$

Option 2: By Initiative *The minimum investment required for targeting by initiative is \$500,000.*

- ☐ Minority CARES
- ☐ First-Time Homebuyer